

Key Investment Services LLC Investment Account Fee Schedule

Fees and waiver requirements are subject to change.

Commission Schedule	
Equity (All orders)	\$0.00
Exchange-Traded Fund (ETF, All orders)	\$0.00
Treasuries securities at Auction	Fee Schedule Below

Principle Amount	Standard Fee
\$0.00 - \$99,999.99	\$50.00
\$100,000.00 - \$499,999.99	\$125.00
\$500,000.00 - \$999,999.99	\$250.00
\$1,000,000.00 or greater	\$500.00

Fee description	Fee
IRA Annual	N/A
IRA and educational savings account closing or full transfer	\$100.00
Full non-IRA account transfer to another institution	\$100.00
Transfer on-death account setup	N/A
Transfer on-death distribution	\$200.00
Interest fee for any past due balances	Current margin rate
Domestic wire transfer (outgoing)	\$20.00
Foreign wire transfer (incoming/outgoing); third-party foreign wires not available	\$32.00
Return check	\$25.00
ACH reject	\$25.00
Regulation T extension	\$10.00

Reorganization processing (per physical position processed)	\$50.00
Bond redemption, put/call processing (per physical position processed)	\$50.00
Partial account transfer to another institution (per security)	\$25.00
Global transfers	\$25.00
Legal transfer (per security) ¹	\$135.00
Foreign receive and deliver – EuroClear transfers	\$50.00
Foreign receive and deliver – all other foreign securities	\$75.00
Foreign securities safekeeping (per security – monthly)	\$10.00
Direct registration (per transfer)	\$10.00
Safekeeping of DTC eligible securities (per security - monthly)	\$10.00
Limited marketability - document review ²	\$250.00
Limited marketability annual fee – retirement accounts (per security)	\$125.00
Limited marketability annual fee – non-retirement accounts (per security)	\$140.00
Non-proprietary limited partnerships handling (per security, maximum fee of \$25.00 per quarter)	\$12.50
Statement and 1099 retrieval fee (per document)	\$10.00
Overnight check delivery	\$12.00
Overnight check Saturday	\$18.00
Overnight check international delivery	\$25.00

Effective date of this fee and commission schedule: June 1, 2024.

Key Investment Services



¹Applies to all endorsements by anyone other than certificate registration.

²These positions may still be subject to the Non-Proprietary Limited Partnership Handling Fee. Limited marketability assets encompass any investment that is not publicly traded, including but not limited to, privately held equities, limited partnerships (LPs), limited liability companies (LLCs), and land trusts.

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